COUNCIL ON PLANNING AND BUDGET
ANNUAL REPORT
2015-2016

To the Irvine Divisional Senate Assembly:
The Council on Planning and Budget (CPB) respectfully submits its report of activities for the 2015-16 academic year.

A. COUNCIL OPERATIONS
   The Council confers with and advises the Chancellor, the Provost and Executive Vice Chancellor, and campus administrative units on matters of planning, budget, and resource allocation on both short and long-term bases. The Council also initiates studies in planning and budget matters and, if necessary to accomplish such studies, authorizes establishment of ad hoc committees to carry out investigations and make reports. Its duties and membership are described in Irvine Bylaw 115.

   Professor James Steintrager, English, chaired the Council in 2015-16. The Council met 12 times this year. Attending regular CPB meetings were 10 appointed members, the Divisional Senate Chair Elect-Secretary (ex officio), the Office of Planning and Budget consultant, the representative for the Librarians Association of the University of California, Irvine (LAUC-I), the representative for the Associated Students of UCI, and the representative for the Associated Graduate Students. The Council appointed several members to serve on various Academic Senate/Administration committees during the year as follows:

   Academic Planning Group: James Steintrager
   Budget Work Group: James Steintrager
   Campus Physical and Environmental Committee: Julia Gelfand
   Design Review Team: Steven Gross
   Council on Enrollment and Student Success: James Steintrager
   Small Capital Improvement Program Advisory Committee: Betty Olson
   Space Planning Team: Charis Kubrin
   Task Force on International Student Recruitment and Retention: James Steintrager
   University Committee on Planning and Budget (UCPB): James Steintrager

B. ACADEMIC PLANNING GROUP (APG)
   As CPB Chair, James Steintrager is a member of the APG. Charged with reviewing campus resources, the APG was actively involved with the general refinement of the strategic plan and its metrics, the review of high-impact hiring proposals, and engaged in discussions about enrollment management in light of the additional enrollments of 10,000 California undergrads systemwide, and ORU’s. Additionally, the APG generated a list of big picture topics to move the campus forward that includes increasing graduate enrollment, increasing grant funding, faculty award structure, interdisciplinary structure, academic initiatives, and community engagement.

C. PROPOSALS FOR DEPARTMENTS/PROGRAMS/SCHOOLS/ORUS
   1. Department of African American Studies
      (Meeting date: 10/28/15 – Memo date: 11/5/16)
      The Council voted to endorse the proposed departmentalization of the African American Studies Program while expressing concern about the broader issue of the proliferation of small departments in the School of Humanities.

   2. Sue and Bill Gross School of Nursing
      (Meeting dates: 4/27/16, 5/11/16 and 8/15/16 – Memo date: 8/18/16)
The Council voted to endorse the proposed School of Nursing following clarification of planning and budgetary issues.

D. PROPOSALS FOR DEGREE PROGRAMS

1. **B.S. in Applied Physics**  
   *(Meeting date: 11/20/15 – Memo date: 11/30/15)*  
   The Council voted to endorse the proposed degree program from the School of Physical Sciences. The Council expressed concerns about adequate academic advising for the program and encouraged the department to develop strategies to communicate about the new degree program in order to guide prospective students.

2. **Master of Finance (Self-Supporting Program)**  
   *(Meeting date: 11/20/15 – Memo date: 12/18/15)*  
   The Council voted to endorse the proposed degree program from the Paul Merage School of Business.

3. **Master in Conservation and Restoration Science (Self-Supporting Program)**  
   *(Meeting dates: 11/20/15 and 12/9/15 and 4/13/16 – Memo dates: 11/30/15, 1/7/16, and 4/21/16)*  
   The Council voted to endorse the proposed degree program from the School of Biological Sciences after preliminary concerns regarding the rationale for a self-supporting program, executive committee endorsement, and use of program revenues were addressed with appropriate changes and information. The Council remained concerned about the comingling of state-supported and self-supporting program students in two graduate courses.

4. **BA in Language Science**  
   *(Meeting dates: 4/27/16 and 5/11/16 – Memo dates: 5/4/16 and 5/16/16)*  
   The Council declined to opine on the proposed degree program from the School of Social Sciences based on planning and resource concerns about the future of the Department of Linguistics.

5. **Master of Science in Business Analytics (Self-Supporting Program)**  
   The Council voted to endorse the proposed degree program from the Paul Merage School of Business after preliminary concerns about the criteria for self-supporting programs, teaching assignments, and the disposition of program revenue were addressed with appropriate changes and information.

6. **Joint Doctoral Program in Computational Science with San Diego State University**  
   *(Meeting date: 5/11/16 – Memo date: 5/18/16)*  
   The Council voted to endorse the proposed joint doctoral program.

E. ENDOWED CHAIRS

1. **Stacey Nicholas Dean of Engineering Administrative Endowed Chair**  
   *(Meeting date: 10/28/15 – Memo date: 10/30/15)*  
   The Council voted to endorse the proposed administrative endowed chair in the School of Engineering.

2. **Francisco Ayala Endowed Chairs**  
   *(Meeting date: 10/28/15 – Memo date: 10/30/15)*
The Council voted to endorse the proposed administrative endowed chairs in the School of Biological Sciences with the understanding that the intent of the gift should be clarified and the gift agreement in keeping with this intent.

3. **Shri Parshvanath Presidential Chair in Jain Studies**  
   *(Meeting date: 10/28/15 – Memo date: 10/29/15)*  
   The Council voted to endorse the proposed endowed chair in the School of Humanities with the observation that the original proposal does not address any requirement for additional library resources and the funding source for these resources. Furthermore, the Council observed that the Jain Studies Endowed Chair proposal had already been publicly announced in UCI News on October 19, 2015 and noted that this action runs counter to shared governance and undermines the Council’s ability to provide meaningful input at an appropriate juncture.

4. **Swami Vivekananda - Dharma Civilization Foundation Presidential Chair in Modern India Studies**  
   *(Meeting date: 10/28/15 – Memo date: 10/29/15)*  
   The Council voted to endorse the proposed endowed chair in the School of Humanities noting that the original proposal does not address any requirement for additional library resources and the funding source for these resources. Furthermore, the Council observed that the Modern India Studies Endowed Chair proposal had already been publicly announced in UCI News on October 19, 2015 and noted that this action runs counter to shared governance and undermines the Council’s ability to provide meaningful input at an appropriate juncture.

5. **Dhan Kaur Sahota Presidential Chair in Sikh Studies**  
   *(Meeting date: 10/28/15 – Memo date: 10/29/15)*  
   The Council voted to endorse the proposed endowed chair in the School of Humanities noting that the original proposal does not address any requirement for additional library resources and the funding source for these resources. Furthermore, the Council observed that the Sikh Studies Endowed Chair proposal had already been publicly announced in UCI News on October 19, 2015 and noted that this action runs counter to shared governance and undermines the Council’s ability to provide meaningful input at an appropriate juncture.

6. **Nicolaos G. and Sue Curtis Alexopoulos Presidential Chair in Electrical Engineering and Computer Science**  
   *(Meeting date: 12/9/15 – Memo date: 12/18/15)*  
   The Council voted to conditionally endorse the proposed endowed chair in the Henry Samueli School of Engineering pending a faculty vote or other evidence of faculty consultation with the affected department demonstrating support for the proposed endowed chair.

7. **Report and Recommendation of the Ad Hoc Committee on Endowed Chairs**  
   *(Meeting date: 2/24/16 – Memo date: 2/25/16)*  
   The Council reviewed the Report of the Ad Hoc Committee on Endowed Chairs in the School of Humanities dated February 18, 2016 and voted unanimously to recommend that the Academic Senate rescind their approval of the following four endowed chairs: (1) Thakkar Family – Dharma Civilization Foundation Presidential Chair in Indic and Vedic Civilization Studies, (2) Swami Vivekananda – Dharma Civilization Foundation Chair in Modern India Studies, (3) Shri Parshvanath Presidential Chair in Jain Studies, and (4) Dhan Kaur Sahota Presidential Chair in Sikh Studies. In addition, the Council voted unanimously to support the Humanities Executive Committee’s recommendation that the Academic Senate review the guidelines and procedures for the acceptance of gifts in consultation with University Advancement.
8. Jean-Claude and Dina Falmagne Endowed Chair in Mathematical Psychology or Mathematical Cognitive Science  
(Meeting date: 4/27/16 – Memo date: 5/4/16) 
The Council voted to conditionally endorse the proposed endowed chair in the School of Social Sciences pending a faculty vote in the affected department, school, or equivalent body demonstrating support for the proposed endowed chair.

9. Roger F. Steinert Endowed Chair in Ophthalmology  
(Meeting date: 4/27/16 and 6/8/16 – Memo date: 7/5/16) 
The Council voted to endorse the proposed endowed chair in the School of Medicine.

F. DIVISIONAL ISSUES/POLICIES – None

1. Student Services Fees for Self-Supporting Programs  
(Meeting dates: 1/27/16 – Memo date: 1/29/16) 
The Council voted to endorse the proposed policy to include student services fees in the budgets of self-supporting programs as presented and proposed by the Graduate Council. The Council recommended that future self-supporting programs assess the burden that they impose on student services and include appropriate fees in their budgets.

2. Initial Request for Approval to Establish an Endowed Chair  
(Meeting dates: 2/10/16 and 2/24/16 – Memo date: 3/3/16) 
The Council reviewed the existing Initial Request for Approval to Establish an Endowed Chair form. Modifications were made to clarify and strengthen the faculty consultation requirement, to address other areas of concern, such as support for research, and to eliminate ambiguities in the form. The Council submitted a modified Initial Request for Approval to Establish an Endowed Chair form to the Senate Cabinet for endorsement.

3. APP 402-10A.6 Endowed Chairs-Procedures  
(Meeting dates: 6/8/16 – Memo date: 6/16/16) 
The Council reviewed the proposed revisions to the campus review for endowed chairs procedures and expressed concern about the distinctions in campus review made when the endowed chair is in Health Sciences and the implications of the proposed language for faculty consultation.

G. SYSTEMWIDE ISSUES/POLICIES

Retirement Options Task Force Report  
(Meeting date: 1/27/16 – Memo date: 1/28/16) 
The Council reviewed the Retirement Options Task Force Report to the President. The Council noted its deep concern about how the proposed changes might negatively impact the recruitment, retention, and timely retirement of faculty and urged that the requisite time and effort be given to attune the proposed changes to our long-term institutional goals and to considered them within the larger context of remuneration, quality faculty, and top-tier research.

H. OTHER ISSUES – None

I. CONTINUING BUSINESS – None

1. APP 402-10A.6 Endowed Chairs-Procedures  
The Council expects to see a red-line copy of APP 402-10A.6 Endowed Chairs-Procedures for final review and approval.
2. **Chao Family Prestigious Endowed Chair for Cancer, Chao Family Endowed Chair for Clinical Science, School of Medicine**
   The Council received the Chao Family Prestigious Endowed Chair for Cancer, Chao Family Endowed Chair for Clinical Science, School of Medicine Proposal on June 29, 2016. The Council will review the proposal in Fall 2016.

J. **GUESTS**
   CPB invited Provost Lavernia to its February 10, 2016 meeting to provide a campus update with regard to planning and budgetary issues and to address plans and funding for graduate student growth in relation to undergraduate enrollment growth.

   CPB invited Meredith Michaels, Vice Chancellor, Planning and Budget, and Ryan Cherland, Assistant Vice Chancellor, Institutional Research and Decision-Support, to its March 9, 2016 meeting to present an enrollment model based on the campus’s aspirational enrollment goals as articulated in the Strategic Plan.

   CPB invited Meredith Michaels, Associate Vice Chancellor, Planning and Budget, Martha Graciano, Assistant Vice Chancellor, Budget, and Katherine Gallardo, Strategic Planning Analyst, to its April 13, 2016 meeting to present the campus’s 10-year budget model based on the Strategic Plan.

   CPB invited Provost Lavernia to its August 15, 2016 meeting to answer questions about the Proposal to Advance the Program in Nursing Science to the Sue and Bill Gross School of Nursing.

K. **COUNCIL ON PLANNING AND BUDGET MEMBERSHIP**
   **Members:**
   - James Steintrager, Humanities, Chair & UCPB representative
   - Bryan Reynolds, Arts
   - Steven Gross, Biological Sciences
   - Andrew Policano, Business
   - Drew Bailey, Education
   - Betty Olson, Engineering
   - Michael Franz, ICS, Vice Chair
   - Bogi Anderson, Medicine
   - Hong-kai Zhao (Fall 2015), Physical Sciences
   - Michael Prather (Winter and Spring 2016), Physical Sciences
   - Charis Kubrin, Social Ecology

   **Ex-Officio:**
   - William H. Parker, Divisional Senate Chair Elect-Secretary

   **Consultant:**
   - Meredith Michaels, Vice Chancellor, Planning & Budget

   **Library Representative:**
   - Julia Gelfand, LAUC-I

   **Student Representatives:**
   - Parshan Khosravi Kermani, ASUCI Representative
   - Jeffrey Wingo, AGS Representative

   **Council Analyst:**
   - Thao Nguyen