

**COUNCIL ON PLANNING AND BUDGET  
ANNUAL REPORT  
2012-2013**

**To the Irvine Divisional Senate Assembly:**

The Council on Planning and Budget (CPB) respectfully submits its report of activities for the 2012-13 academic year.

**A. COUNCIL OPERATIONS**

The Council confers with and advises the Chancellor, the Executive Vice Chancellor & Provost, and campus administrative units on matters of planning, budget, and resource allocation on both short and long-term bases. The Council also initiates studies in planning and budget matters and, if necessary to accomplish such studies, authorizes establishment of ad hoc committees to carry out investigations and make reports. Its duties and membership are described in Irvine Bylaw 115.

Professor Stephen Ritchie (Civil & Environmental Engineering) chaired the Council in 2012-13. The Council met 11 times this year. Attending regular CPB meetings were ten appointed members, the Divisional Senate Chair Elect-Secretary (ex officio), the Budget Office consultant, the representative for the Librarians Association of the University of California, Irvine (LAUC-I), the representative for the Associated Students of UCI, and the representative for the Associated Graduate Students. The Council appointed several members to serve on various committees during the year as follows:

Academic Planning Group: Stephen Ritchie  
Budget Work Group: Stephen Ritchie  
Campus Physical and Environmental Committee: Cynthia Johnson  
Design Review Team: Steven Gross  
Enrollment Council: Abel Klein  
Small Capital Improvement Program Advisory Committee: Stephen White  
Space Planning Team: Charis Kubrin  
Task Force on International Student Recruitment and Retention: Stephen Ritchie  
University Committee on Planning and Budget (UCPB): Stephen Ritchie  
Workgroup on University Studies Courses: Gary Olson

**B. ACADEMIC PLANNING GROUP (APG)**

Chair Ritchie is a member of the APG. Vice Chair Klein and CPB member Olson also serve on the APG as faculty representatives. The APG met for the first time in April 2013 for the 2012-13 academic year and continues to review campus resources.

**C. PROPOSALS FOR DEPARTMENTS/PROGRAMS/SCHOOLS/ORUS**

1. Center for Virtual Environments and Computer Games

*(Meeting date: 3/13/13 – Memo date: 3/14/13)*

The proposed structure and activities appear to be appropriate. CPB voted to endorse the creation of the Center as an ORU.

2. Center for Complex Biological Systems

*(Meeting date: 3/13/13 – Memo date: 3/14/13)*

It appears to CPB that the Center has functioned de facto as an ORU for more than ten years. The Council voted to endorse the Center as an ORU.

**D. PROPOSALS FOR DEGREE PROGRAMS**

1. Disestablishment of B.A. in Arts/Humanities and Humanities/Arts

*(Meeting date: 1/9/13 – Memo date: 1/14/13)*

The Council has no concerns with regard to the proposal based on the rationale provided and voted unanimously to endorse the proposed disestablishment.

2. Disestablishment of B.S. in Plant Biology

*(Meeting date: 1/9/13 – Memo date: 1/14/13)*

The Council has no concerns with regard to the budget implications of the proposal and voted unanimously to endorse the proposed disestablishment.

3. B.S. in Human Biology

*(Meeting date: 1/9/13 – Memo date: 1/16/13)*

The proposed major seems appropriate and does not appear to require significant new resources. The Council voted unanimously to support its creation.

4. Dual MD/MPH Degree Program

*(Meeting dates: 2/13/13 & 3/13/13 – Memo dates: 2/15/13 & 3/14/13)*

After receiving clarification regarding the fee structure and justification regarding the chair position of the oversight committee, the Council finds the proposed program to be satisfactory and voted to endorse the proposal.

5. B.S. in Cognitive Sciences

*(Meeting date: 4/10/13 – Memo date: 4/12/13)*

The Council voted to endorse the proposed degree program.

6. Ph.D. in Informatics

*(Meeting date: 4/24/13 – Memo date: 4/25/13)*

The Council finds the proposal to largely be a straightforward restructuring of an existing program that does not require new resources. No additional faculty FTE and no new library acquisitions are required for the proposed program. CPB has no concerns with regard to the budget implications of the proposal and voted to endorse the proposed Ph.D. in Informatics.

7. B.S. in Exercise Sciences

*(Meeting date: 6/12/13 – Memo date: 6/21/13)*

The Council has no concerns with regard to the budget implications of the proposal and voted to endorse the proposed degree program.

**E. ENDOWED CHAIRS – None**

**F. DIVISIONAL ISSUES/POLICIES**

1. UCI Abroad White Paper

*(Meeting date: 11/28/12 – Memo date: 12/3/12)*

CPB expressed a number of serious concerns with regard to the resource analysis and disposition of program revenue that are not yet addressed in the proposal.

2. Division of Undergraduate Education University Studies Courses

*(Meeting date: 6/12/13)*

CPB has been asked to evaluate the campuswide budgetary implications of university studies courses offered by DUE. The Council will invite Dean Sharon Salinger in 2013-14 to provide an overview of DUE courses to determine the budgetary resources and structure. The Council will also invite CEP Chair Tony Smith to the same meeting to learn of CEP concerns.

## **G. SYSTEMWIDE ISSUES/POLICIES**

### **1. General Campus Negotiated Salary Trial Program**

*(Meeting date: 10/24/12 – Memo date: 10/30/12)*

The Council reviewed the closely related proposed APM-668 Negotiated Salary Plan last year, and opposed the plan. The systemwide Senate Academic Council also opposed the plan. The proposed General Campus Negotiated Salary Trial Program does not address the majority of either CPB's or the Academic Council's prior objections. The Council unanimously opposed the proposed Program primarily as it undermines the UC merit system and increases salary inequity.

### **2. Report and Recommendations of Rebenching Budget Committee**

*(Meeting date: 10/24/12 – Memo date: 11/5/12)*

The Council enthusiastically endorsed the Recommendations of the Rebenching Budget Committee to increase equity and transparency in the formula for allocating state funds across UC campuses. The Council also made the following recommendations:

- Rebenching should be implemented as proposed, starting with allocations for 2012-13.
- The rebenching transition should occur over six years, even in the absence of new state funding.
- The new long-range enrollment plan, which will serve as the basis for rebenching enrollment targets in future years, should be developed as soon as possible.

### **3. Open Access Policy**

*(Meeting dates: 10/24/12, 11/14/12 & 11/28/12 – Memo date: 12/3/12)*

The Council observes that in general this is a good, progressive, democratic, and inclusive policy that will permit the free flow of scholarship and ideas beyond privileged portals but also identifies the following concerns and issues with the implementation of the policy:

- The Council remains concerned about the impact of the proposed policy on faculty in fields such as Humanities, Arts, and Visual Studies whose publications involve embedded copyright material.
- The proposal remains vague regarding the costs involved and the business model to be adopted.
- The Council remains concerned that the proposed policy reflects a non-calibrated, non-scaled one size fits all strategy.

### **4. UC Undergraduate Financial Aid Funding Options**

*(Meeting date: 3/13/13 – Memo date: 3/18/13)*

The Council reviewed the systemwide Undergraduate Financial Aid Funding Options for setting UC's funding commitment starting in 2014-15. Of the three options presented, CPB observes that: 1) Option A would raise tuition the most, so it is more likely to raise a backlash against UC; 2) Option C, basically the status quo, seems the most consistent with the original intent and philosophies of UC's student aid policies and therefore is an attractive option for the UC system's accessibility goals; it has the drawback that UC Irvine would remain a net payer; and 3) Option B would likely benefit the UC Irvine campus' unique situation of being a net payer to the system.

### **5. Revised Open Access Policy**

*(Meeting date: via email correspondence – Memo date: 7/2/13)*

The revised policy addressed several key issues raised during the initial review including oversight, scope of the license grant, improvement of deposit capabilities in eScholarship, and cost allocation. However, two of the issues raised in CPB's prior memo have not been addressed. CPB remains concerned that a particular business model has not been identified and therefore, it is unclear how much the project will cost, and that the proposed policy reflects a non-calibrated, non-scaled one size fits all strategy.

## H. OTHER ISSUES

### Non-resident Enrollment

Following recommendations from Vice Provost Clark to study long-range planning of non-resident enrollment, the Council has agreed to explore the issue. Chair Ritchie met with Senate Chair Gilly, Chair Elect Krapp, and Vice Provost Clark to define parameters for a possible study. The group is enthusiastic of CPB's initiative and proposes that CPB focuses on the immediate issue of non-resident enrollment, specifically enrollment target and threshold with regard to educational quality. Due to time constraints this year, CPB will continue the discussion at future meetings.

## I. CONTINUING BUSINESS

### Division of Undergraduate Education University Studies Courses

CPB has been asked to evaluate the campuswide budgetary implications of university studies courses offered by DUE. In the Fall 2013, the Council will invite Dean Sharon Salinger to provide an overview of DUE courses to determine the budgetary resources and structure. The Council will also invite CEP Chair Tony Smith to the same meeting to learn of CEP concerns.

## J. GUESTS

CPB invited the following guests to provide updates on their area of responsibilities:

- Susan Bryant, Interim Executive Vice Chancellor & Provost – 11/14/12
- Meredith Michaels, Vice Chancellor, Planning & Budget – 11/14/12
- Jutta Heckhausen, Chair, Graduate Council – 11/28/12
- Michael Clark, Co-chair, Academic Planning Group – 1/9/13
- Peter Krapp, Co-Chair, Academic Planning Group – 1/9/13
- Wendell Brase, Vice Chancellor, Administrative & Business Services – 1/23/13
- Gregory Leet, Vice Chancellor, University Advancement – 2/13/13
- Richard Demerjian, Director, Environmental Planning & Sustainability – 3/13/13
- Janet Mason, Director, Capital Planning – 3/13/13
- Frances Leslie, Dean, Graduate Division – 4/24/13
- Ron Fleming, Director, Transportation Services – 6/12/13
- Donald Seneor, UCPB Vice Chair – 6/12/13

## K. COUNCIL ON PLANNING AND BUDGET MEMBERSHIP

### Members:

Stephen Ritchie, Chair & UCPB rep.  
George Farkas  
Steven Gross  
Abel Klein, Vice Chair  
Charis Kubrin  
George Marcus  
Gary Olson  
Lyman Porter  
Rajagopalan Radhakrishnan  
Stephen White

### Ex-Officio:

Peter Krapp,  
Divisional Senate Chair Elect-Secretary

### Consultant:

Richard Lynch,  
Associate Vice Chancellor, Planning & Budget

### Library Representative:

Cynthia Johnson, LAUC-I

### Student Representatives:

George Karatorosyan, ASUCI  
Traci Ishigo, ASUCI President  
Justin Chung, AGS President

### Council Analyst:

Thao Nguyen